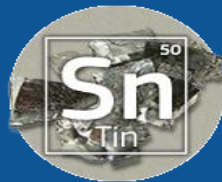




EU Regulation and strategy for minerals and metals from conflict affected and high risk areas



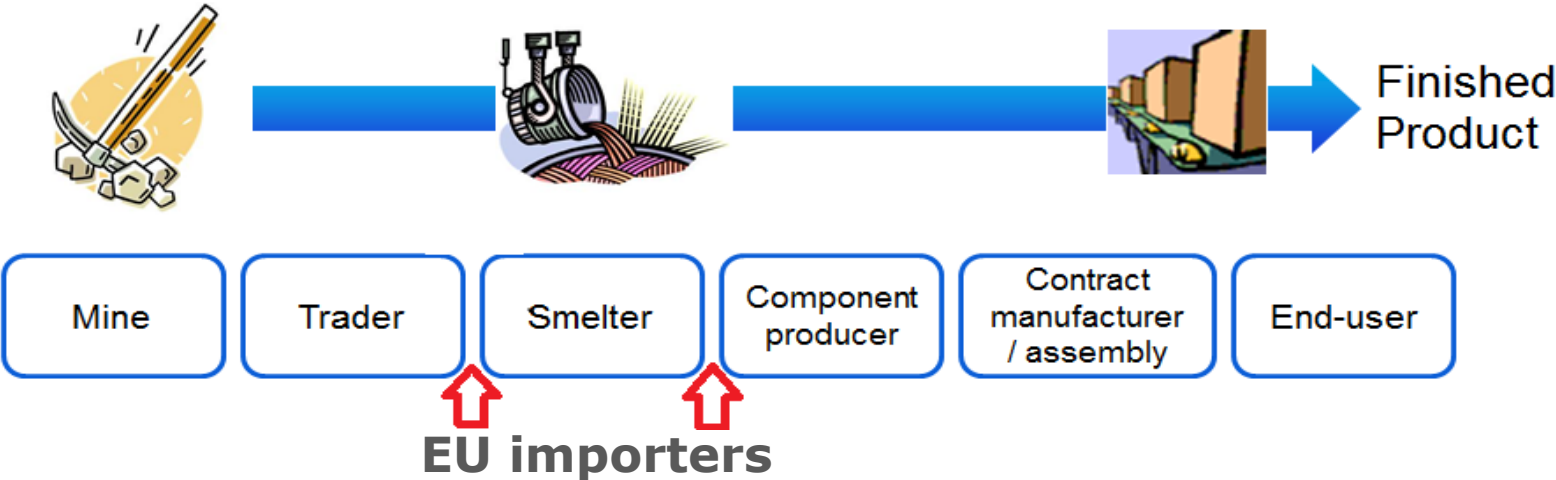
Marten Westrup
DG Trade
European Commission
September 2017

EU Regulation

Regulation **entered into force 8 June 2017** and will apply to **EU importers as of 1 January 2021**

Main elements

- 1. **Mandatory due diligence** for European Union **importers** of 3TG minerals and metals where annual import volumes exceed **thresholds**
- 2. **Global geographical scope:** due diligence irrespective of origin



EU Regulation

Main elements

- 3. Obligations** for EU importers consistent with the **5-step framework** and risks set out in the **OECD Due Diligence Guidance**
- 4. EU Recognition** of supply chain due diligence industry schemes largely based on OECD methodology and criteria
- 5. Member States** competent authorities **ex-post checks**
- 6. EU list** of global responsible **smelters/refiners**
- 7. Review** in 2023, and every three years thereafter

Outcome of legislative negotiations

Additional Commission commitments

1. External expert to provide an indicative, **non-exhaustive list of conflict-affected and high-risk areas**.
2. The Commission shall **review** the Regulation and consider making **additional legislative proposals** targeted at downstream companies with 3TG in their supply chain
3. **Non-binding reporting guidelines** to complement Non-Financial Disclosure Directive (published June 2017)
4. **Transparency Database** (2018)
5. **SME support system** for supply chain due diligence (e.g. simple guides, web-based support, training)

International Outreach

- United States
- China
- India
- United Arab Emirates
- Colombia
- Mexico
- South Africa
- Canada

More information



[DG TRADE website](#)

- The regulation explained
- Help for business
- Legal text and documents



<http://ec.europa.eu/trade/policy/in-focus/conflict-minerals-regulation/>